



The myeloproliferative neoplasms (MPN) Childhood Registry and Biobank



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1 Synopsis

Title of the Registry	MPN Childhood Registry
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Sponsor	University Hospital, Universitätsklinikum Erlangen
Registry Design	National multicenter observational registry
Registry Timetable	Registry start: December 01, 2022 Establishing the prerequisites for a cross-centre registry in the first year Registration of eligible patients in the second and third year Thereafter in principle without any definite limitation
State of the Art	The classical myeloproliferative neoplasms (MPN) polycythemia vera (PV), essential thrombocythemia (ET), and primary myelofibrosis (PMF) as well as primary hyereosinophilic syndrome (pHES) are clonal hematopoietic stem-cell disorders usually diagnosed in adulthood. In children and adolescents, classical MPN and pHES are significantly less frequent and exhibit distinct biological and clinical features. So far, only few cohorts of pediatric patients with these MPN have been investigated and clinical and genetic features, treatment options, and outcomes in young patients are insufficiently described. Consequently, there is only limited data available for the standardization of diagnostic and therapeutic approaches and largely, adult guidelines are applied in childhood MPN. However, these are not tailored to the specific needs of pediatric patients.



<p>Primary Registry Objectives</p>	<ul style="list-style-type: none"> • To determine the incidence and epidemiology of classical MPN, PV, ET and PMF as well as pHES in children and adolescents through systematic inclusion of these patients in a national population-based registry • To systematically characterize clinical, hematologic, genetic and cytogenetic features of children and adolescents with PV, ET, PMF and pHES • To identify pediatric patients with MPN and high risk of complications and disease progression and transformation into acute myeloid leukemia (AML) • To improve the therapy for children and adolescents with MPN by discussing treatment options with the treating centers and tailor therapy to the specific and individual needs of pediatric patients
<p>Secondary Registry Objectives</p>	<ul style="list-style-type: none"> • To prepare future international collaborative prospective studies focusing on the optimization and standardization of childhood MPN-diagnosis and treatment • To develop a biobank system of pediatric patients with MPN
<p>Inclusion Criteria</p>	<ul style="list-style-type: none"> ○ Newly diagnosed PV, ET, PMF or pHES ○ Age < 18 years (up to 17 years and 365 days) at the day of diagnosis ○ Patient treated in a participating center ○ Written informed consent to registry participation
<p>Exclusion Criteria</p>	<ul style="list-style-type: none"> ○ Hereditary or secondary polycythemia, thrombocytosis, myelofibrosis or HES with underlying reasons other than PV, ET, PMF or pHES
<p>Participating Centers</p>	<p>All centers caring for pediatric patients with MPN</p>
<p>Methodology</p>	<p>All patients undergo a standardized routine diagnostic approach including a clinical, hematologic, morphologic, histologic, genetic and cytogenetic assessment at diagnosis, then annually or at suspected disease progression.</p> <p>Data is provided by the participating centers and entered in the electronic CRF (case report forms) by the coordinating study center (CSC) using the REDCap® software.</p>
<p>Statistics</p>	<p>The statistical evaluation is descriptive and exploratory.</p> <p>A detailed procedure is developed before and during the evaluation.</p>



2 List of Abbreviations

AEC	<i>Absolute Eosinophil Count</i>
AML	<i>Acute Myeloid Leukemia</i>
ANC	<i>Absolute Neutrophil Count</i>
BM	<i>Bone Marrow</i>
BSA	<i>Body Surface Area</i>
BW	<i>Body weight</i>
CBC	<i>Complete Blood Count</i>
CGH	<i>Comparative Genomic Hybridization</i>
CI	<i>Cumulative Incidence</i>
CNS	<i>Central Nervous System</i>
CRF	<i>Case Report Form</i>
CSC	<i>Coordinating Study Center</i>
CT	<i>Computerized Tomography</i>
CTC	<i>Common Toxicity Criteria</i>
DMC	<i>Data Monitoring Committee</i>
EU	<i>European Union</i>
EFS	<i>Event Free Survival</i>
EORTC	<i>European Organization for Research and Treatment of Cancer</i>
ET	<i>Essential Thrombocythemia</i>
FAB	<i>French American British Group</i>
GCP	<i>Good Clinical Practice</i>
GGT	<i>Gamma Glutamic Transferase</i>
GLP	<i>Good Laboratory Practice</i>
GMP	<i>Good Manufacturing Practice</i>
HSCT	<i>Hematopoietic Stem Cell Transplantation</i>
HE	<i>Hypereosinophilia</i>
HES	<i>Hypereosinophilic Syndrome</i>
IEC	<i>Independent Ethics Committee</i>
ICH	<i>International Conference on Harmonization</i>
IPSS	<i>International Prognostic Scoring System</i>
LDH	<i>Lactate Dehydrogenase</i>
LFT	<i>Liver Function Test</i>
MCV	<i>Mean Corpuscular Volume</i>
MDS	<i>Myelodysplastic Syndrome</i>
MFD	<i>Matched Family Donor</i>
MMFD	<i>Mismatched Family Donor</i>
MNC	<i>Mononuclear Cells</i>
MPN	<i>Myeloproliferative Neoplasms</i>
MRI	<i>Magnetic resonance imaging</i>
NGS	<i>Next/New Generation Sequencing</i>
NIH	<i>National Institute of Health (US)</i>
n.s.	<i>Non-significant</i>
PB	<i>Peripheral Blood</i>
PBSCT	<i>Peripheral Blood Stem Cell Transplantation</i>
PI	<i>Principal Investigator</i>
PMF	<i>Primary Myelofibrosis</i>
PV	<i>Polycythemia Vera</i>
QOL	<i>Quality of Life</i>
RBC	<i>Red Blood Cell Count</i>
SAE	<i>Serious Adverse Event</i>
SAP	<i>Statistical Analysis Plan</i>
SDV	<i>Source Data Verification</i>
SGOT	<i>Serum Glutamic Oxaloacetic Transaminase</i>
SGPT	<i>Serum Glutamic Pyruvic Transaminase</i>
TRM	<i>Transplant Related Mortality</i>
UD	<i>UD Unrelated Donor</i>
WBC	<i>WBC White Blood Cell Count</i>
WHO	<i>World Health Organization</i>



3 Table of Contents

1	Synopsis.....	2
2	List of Abbreviations.....	4
3	Table of Contents.....	5
4	Schedule of activities.....	7
5	Responsibilities.....	8
6	State of the art.....	10
6.1	Classical MPN.....	10
6.1.1	Background.....	10
6.1.2	Epidemiology.....	10
6.1.3	Clinical presentation.....	10
6.1.4	Hematological characteristics.....	10
6.1.5	Molecular characteristics.....	11
6.1.6	Implications of diagnostic criteria in pediatric MPN.....	11
6.1.7	Complications.....	13
6.1.8	Treatment.....	14
6.1.9	Outcome.....	14
6.2	Hyper eosinophilic Syndrome.....	15
6.2.1	Background and classification.....	15
6.2.2	Diagnosis of Pediatric HES.....	15
6.2.3	Treatment of Pediatric HES.....	16
6.2.4	Complications and prognosis of Pediatric HES.....	17
7	Objectives.....	17
7.1	Rationale of the Registry.....	17
7.2	Primary Objectives.....	17
7.3	Secondary Objectives.....	17
8	Allocation of the MPN Registry to other GPOH Registries.....	18
9	Investigational Plan.....	19
9.1	Registry/Study Design.....	19
9.2	Participating Centers.....	19
9.3	Reference Laboratories.....	19
10	Patient population.....	19
10.1	Study Population.....	19
10.2	Inclusion Criteria.....	19
10.3	Exclusion Criteria.....	19
11	Patient Enrollment and Registration.....	20
11.1	Time of Enrollment.....	20
11.2	Minimal Requirements for Enrollment (Pre-Screening).....	20
11.3	Mode of Enrollment.....	20
12	Patient evaluation and data Acquisition.....	20
12.1	Study Schedule.....	20



12.2	Initial Diagnostic Procedures	21
12.2.1	Demographic Data and medical History	21
12.2.2	Physical Findings	21
12.2.3	General Laboratory Examinations	21
12.2.4	Specific Laboratory Examinations	21
12.3	Laboratory Tests/Special Examinations during Study Period	22
12.4	Patients Undergoing HSCT	24
12.5	Duration of Study Participation	24
13	Management of Patient Data and Patient Material	24
13.1	Recording of Data	24
13.2	Database	24
13.3	Use of Patients` Material	25
13.4	Asservation of Patients` Material and Biobanking	25
14	Quality Assurance	26
14.1	Scientific Committee	26
14.2	Authorized Supervision	26
14.3	Data monitoring	26
15	Statistics	27
16	Conditions for Protocol Amendments	27
16.1	Changes in the Protocol	27
17	Ethical and Legal Considerations	27
17.1	Patient Information and Informed Consent	27
17.2	Data protection	28
17.3	Patient withdrawal	28
17.4	Termination criteria for the overall study	28
17.5	Insurance	29
18	Study Documents and Archiving of Records	29
18.1	Investigator`s File	29
18.2	Documentation of Patient Data	29
18.3	Archiving of Records	29
19	Administrative Considerations	29
19.1	Financing	29
19.2	Data Evaluation and Reporting	29
19.3	Publication of Results	30
20	References	31
	Appendix 1: Patient Information/Informed Consent	35
	Appendix 2: Invoice and Report Forms	35
	Appendix 4: Approval by Ethics Committee	35



4 Schedule of activities

Visit Name	Pre-Screening	Initial Diagnostic Procedures	Disease monitoring ⁹	Yearly Follow-up Visit ¹⁰
Informed consent	X			
Demography	X	X		X
Inclusion/Exclusion criteria	X			
Disease History	X			
Complications/organ involvement related to the disease ¹	X	X		X
Medical History		X		
Family history		X		
Concomitant Medication /Therapy		X		
Disease-associated symptoms ²				
Physical Findings ³		X		X
Body Weight		X		X
Body Height		X		X
Birth Weight		X		X
Head Circumference (cm)		X		
Organomegaly		X	X	X
Hematology ⁴	X	X	X	X
Clinical Chemistry ⁵		X		X
Bone marrow with differential count ⁶	X	X	(X)	(X)
Peripheral blood with differential count ⁶	X	X	X	X
Cytogenetic analysis of BM/PB		X	(X)	(X)
Direct and indirect Coombs-test		X		
Molecular analyses from BM/PB		X	(X)	X
HLA-typing		X		
Vitamin and iron status ⁷		X		X
Patient alive at this visit				X
Karnofsky Score		X		X
MPN-specific treatment			X	X
Toxicity ⁸				X
Concomitant treatment		X		X

¹Complications/organ involvement related to the disease: i. e. thrombosis or bleeding are required at the initial diagnostic procedures and follow-up visits but are optional at the pre-screening visit.

²Disease-associated symptoms: fatigue, headache, fever, active infection

³Physical Findings: splenomegaly, hepatomegaly, lymphadenopathy, congenital abnormalities, xanthomas, café au lait spots, cranial nerve palsy, respiratory tract symptoms, signs of neurofibromatosis type I.

⁴Hematology: hemoglobin, hematocrit, MCV, reticulocyte count, platelet count, CBC with differential.

⁵Clinical chemistry: bilirubin, ASAT, ALAT, Gamma-GT, LDH, Uric Acid, Creatinine, Alkaline Phosphatase

⁶Bone marrow and Peripheral blood are summarized in Appendix 2, follow-up bone marrow only in case of changing peripheral blood counts and/or suspected progress

⁷Screening vitamin deficiencies: folic acid, vitamin B12; full iron status including serum iron, serum ferritin, and serum transferrin saturation

⁸Toxicity: anemia, neutropenia, thrombocytopenia, skin rash, nausea, vomiting, diarrhea, edema, muscle cramps, headache, LFT elevation, infection

⁹Response assessment and disease monitoring in classical MPN and pHES can be performed as described in 12.3

¹⁰Yearly follow-up will be performed until adulthood.



5 Responsibilities

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6 State of the art

6.1 Classical MPN

6.1.1 Background

The classical myeloproliferative neoplasms (MPN) comprise the three *BCR::ABL1*-negative disease entities polycythemia vera (PV), essential thrombocythemia (ET), and primary myelofibrosis (PMF). Serious complications associated with MPNs are thromboembolic events and disease progression of and to myelofibrosis and acute myeloid leukemia (AML) (1).

Like other myeloid diseases, e. g. myelodysplastic syndromes (MDS) and chronic myeloid leukemia (CML), MPNs are usually diagnosed in adulthood and the median age at diagnosis of the two more prevalent conditions, PV and ET, is over 60 years. In children and adolescents, however, MPNs are very rare and knowledge concerning the biological and clinical characteristics at diagnosis and in the further course of these diseases including the incidence of vascular and long-term complications remains limited. As a consequence, there is no standardization of diagnostic and therapeutic approaches in pediatric patients with MPN and in most instances, guidelines from adult patients are applied in children and adolescents. These include that the diagnosis of an MPN is based on the identification of a driver mutation and the assessment of a bone marrow trephine. Treatment should be adapted according to a stratification into low risk or high risk according to the patient's age and history of thrombosis or hemorrhage with the aim to reduce thrombotic complications (2-4). The significance of different therapeutic avenues including antiplatelet and/or cytoreductive treatment in the population of pediatric patients with MPN is largely unknown and information about the potential long-term sequelae of treatment is sparse.

Currently, there is no population-based registry on a national or international level for pediatric patients < 18 years with MPN. The main aims of the MPN Childhood Registry are to validate the epidemiology of MPN in childhood and adolescence, to improve and standardize the diagnostic approach by a centralized morphologic, histologic, cytogenetic and molecular review and to evaluate the different therapeutic modalities and tailor these to the specific needs of pediatric patients.

6.1.2 Epidemiology

A significant variability of data concerning in the incidence of MPN between countries was reported. The global incidence of MPN in children and adolescents has recently been estimated at around 0.82 per 100,000 per year (range 0.1 to 2.25), which is about 100 times lower than in adults (5-7). Thus, pediatric individuals represent only a small proportion of patients with MPN.

However, the more frequent use of routine blood counts in recent years has been associated with an increase in the diagnosis of MPNs in children and adolescents and therefore, the true incidence of these diseases might be underestimated.

The global incidences of PV, ET, and PMF were found to be variable around 0.18, 0.6, and 0.53 per 100,000 per year, respectively. Current studies showed a median age at diagnosis of 12 years for pediatric patients with PV and 9.3 years for pediatric patients with ET. The percentage of female cases in these analyses was 45% in PV and 57.6% in ET (6, 7).

6.1.3 Clinical presentation

There is a high variability of symptoms associated with MPN in children and adolescents. Recent analyses have shown that nearly half of the pediatric patients with MPN were asymptomatic at the time of diagnosis. Headaches, abdominal or bone pain were the most commonly reported symptoms. A small proportion of patients appears to be diagnosed following a thrombotic or hemorrhagic event. The frequency of these complications is lower in pediatric cohorts than in adults. The reason for the original consultation was unclear or unknown in most cases in these analyses.

The most frequent abnormal clinical finding is splenomegaly described in more than half of the cases with ET and a smaller subgroup of individuals with PV. There was no correlation of splenomegaly and abdominal symptoms or thrombosis (6-8).

6.1.4 Hematological characteristics

In a recent cumulative retrospective analysis, Iannotto et al. have assessed full blood counts of pediatric cases with MPN at diagnosis. For PV patients, the median leukocyte count was 13.2 G/L, the median



hemoglobin 180 g/L (maximum level, 189 g/L), the maximum hematocrit was 72.5%, and the platelet count was 799 G/L. For ET patients, the median leukocyte count was 10.6 G/L, the median hemoglobin 131 g/L, and the median platelet count 1192 G/L (maximum 4500 G/L). Notably, in this and other retrospective analyses, the differentiation from hereditary and secondary erythrocytosis and thrombocytosis remained unclear and in a substantial number of cases, the authors rather generally stated that patients fulfilled the diagnostic criteria according to the current World Health Organization classification. However, bone marrow results were described for only about half of all cases comprising mostly short descriptions and general conclusions (6).

6.1.5 Molecular characteristics

As for other myeloid diseases like MDS (9), obvious differences concerning the frequency and the spectrum of genetic driver and non-driver variants between pediatric and adult patients with MPN have been described.

For PV, the percentage of pediatric cases positive for the classical Janus kinase 2 (JAK2) V617F driver mutation was between 37% and 24% and therefore significantly lower than in adult cases, whereas the rate of JAK2 exon 12 mutations appeared comparable. Accordingly, the percentage of pediatric individuals who did not harbor one of these two driver mutations was higher (10-12). In a larger analysis of pediatric ET cohorts including all driver mutations, the proportions of positivity were also lower than in adult cohorts and found to be 31% for JAK2V617F, 10% for CALR, and 2% for MPL. Consequently, a higher proportion of 57% of these pediatric cases were triple-negative for driver mutations (13-19).

In next-generation sequencing analyses, a significant proportion of 35% of patients did not carry any non-driver mutation (Figure 1) (13, 15). High-risk mutations associated with an inferior prognosis in primary myelofibrosis in adult patients were uncommon and the clinical significance of non-driver mutations could not be assessed in these studies.

As already addressed, the exclusion of hereditary and secondary cases was critically discussed in these reports. The differences in the mutational landscape found in these analyses of pediatric patients with MPN compared to adult patients requires a prospective evaluation.

Systematic analyses concerning cytogenetic aberrations in pediatric MPN have not been performed so far.

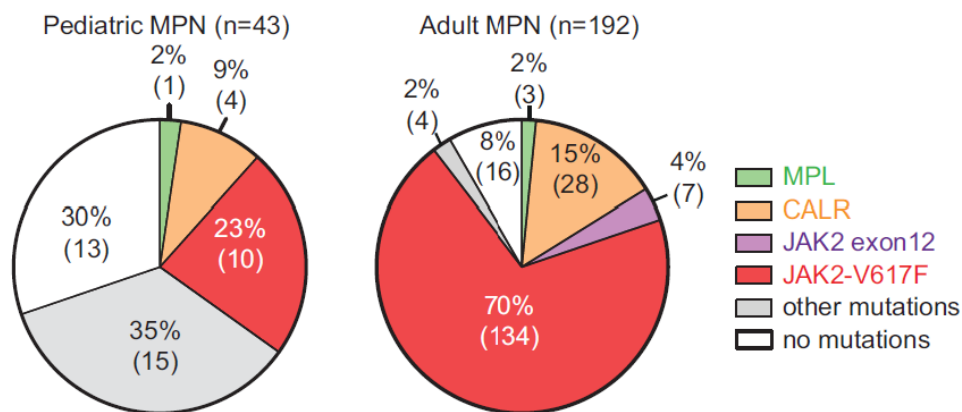


Figure 1: Mutation profiling in pediatric vs. adult patients with MPN according to Karow et al. Leukemia 2015 (15)

6.1.6 Implications of diagnostic criteria in pediatric MPN

The diagnostic criteria for PV, ET, and PMF are shown in table 1 and 2, respectively. However, it needs to be emphasized that the World Health Organization (WHO) diagnostic criteria for MPNs are tailored to adults. The PV criteria, for example, do not consider pediatric age-adjusted normal ranges for hemoglobin (Hb) or hematocrit (Hct) (12). Moreover, as described earlier, a significant proportion of pediatric patients do not exhibit a driver mutation as a major diagnostic criterion for MPNs. Therefore, from the diagnostic point of view, it appears even more important that a bone marrow aspiration as well as a bone marrow biopsy assessing morphology, cellularity and reticulin fibrosis are routinely performed in all children and adolescents with suspected MPN.



Polycythemia vera (PV)	Essential thrombocythemia (ET)
<i>Major criteria</i>	
1	
Hemoglobin > 16.5 g/dL (men); Hemoglobin > 16.0 g/dL (women); Hematocrit > 49% (men); Hematocrit > 48% (women) or increased red cell mass (RCM) *	Platelet count $\geq 450 \times 10^9/L$ *
2	
BM biopsy showing hypercellularity for age with trilineage growth (panmyelosis) including prominent erythroid, granulocytic and megakaryocytic proliferation with pleomorphic, mature megakaryocytes (differences in size)	BM biopsy showing proliferation mainly of the megakaryocyte lineage with increased numbers of enlarged, mature megakaryocytes with hyperlobulated nuclei. No significant left-shift of neutrophil granulopoiesis or erythropoiesis and very rarely minor (grade 1) increase in reticulin fibers
3	
Presence of <i>JAK2V617F</i> or <i>JAK2</i> exon 12 mutation #	Not meeting WHO criteria for <i>BCR::ABL1</i> + CML, PV, PMF, MDS, or other myeloid neoplasms
4	
	Presence of <i>JAK2</i> , <i>CALR</i> or <i>MPL</i> mutation #
<i>Minor criteria</i>	
1	
Subnormal serum erythropoietin level	Presence of a clonal marker (e.g., abnormal karyotype) or absence of evidence for reactive thrombocytosis

Table 1: 2016 World Health Organization diagnostic criteria for polycythemia vera and essential thrombocythemia adapted from Barbui T et al. Blood Cancer J 2015, Arber et al. Blood 2016, and Arber et al. Blood 2022 (3, 20, 21)

*Values above the upper normal pediatric age range; #Possible lack of mutation in children and adolescents



Primary myelofibrosis (PMF)	
Prefibrotic/early PMF	Overt PMF
<i>Major criteria</i>	
1	
Megakaryocytic proliferation and atypia, without reticulin fibrosis > grade 1, accompanied by increased age-adjusted BM cellularity, granulocytic proliferation and often decreased erythropoiesis	Megakaryocyte proliferation and atypia accompanied by either reticulin and/or collagen fibrosis (grade 2 or 3)
2	
Not meeting WHO criteria for <i>BCR::ABL1</i> + CML, PV, ET, MDS or other myeloid neoplasm	Not meeting WHO criteria for <i>BCR::ABL1</i> + CML, PV, ET, MDS or other myeloid neoplasm
3	
Presence of <i>JAK2</i> , <i>CALR</i> , or <i>MPL</i> mutation or in the absence of these mutations, presence of another clonal marker or absence of minor reactive BM reticulin fibrosis #	Presence of <i>JAK2</i> , <i>CALR</i> , or <i>MPL</i> mutation or in the absence, the presence of another clonal marker or absence of evidence for reactive BM fibrosis #
<i>Minor criteria</i>	
1	
Presence of one or more of the following, confirmed in two consecutive determinations: <ul style="list-style-type: none"> • Anemia not attributed to a comorbid condition • Leukocytosis $\geq 11 \times 10^9/L$ • Palpable splenomegaly • LDH level above the upper limit of the institutional reference range 	Presence of one or more of the following, confirmed in two consecutive determinations: <ul style="list-style-type: none"> • Anemia not attributed to a comorbid condition • Leukocytosis $\geq 11 \times 10^9/L$ • Palpable splenomegaly • LDH level above the upper limit of the institutional reference range • Leukoerythroblastosis

Table 2: 2016 World Health Organization diagnostic criteria for primary myelofibrosis adapted from Barbui T et al. Blood Cancer J. 2015, Arber et al. Blood 2016, and Arber et al. Blood 2022 (3, 20, 21)
#Possible lack of mutation in children and adolescents

Given the differences described in the disease biology, alternative criteria for PV and ET in children have been proposed, with PV criteria including Hb or RBC count above the 97.5th percentile for age, and ET criteria granting the absence of reactive causes of thrombocytosis equal weight as the identification of a known driver mutation (22).

6.1.7 Complications

Overall, complications in MPN, e. g. thrombotic or hemorrhagic events, disease progression and transformation and other malignancies or therapy-associated sequelae appear to be significantly less common in children and adolescents with MPN compared to adult individuals (23, 24). However, these observations should be interpreted with caution because of the limited median follow-up in the respective analyses.

The incidence of thrombosis at diagnosis in children and adolescents was 14.7% and 4% in patients with PV and ET, respectively, and decreased in cases with PV thereafter. A clear predominance of venous vs. arterial events (84.2%) has been described and the majority of events occurred in the splanchnic veins (75%) in particular as Budd-Chiari syndrome (62.5% of venous events) (6). The probability of recurrent thrombotic events in pediatric MPN remains unclear based on the available data. Hemorrhagic events appeared to be very rare in children and adolescents with MPN (1% before and 4.8% after the diagnosis in ET patients and 4% before and after diagnosis in PV patients) and were not associated with the use of antithrombotic drugs (6).

Disease progression and transformation into secondary myelofibrosis and/or acute leukemia as the most serious complications in adult patients seem to occur rarely in pediatric MPN. Evolution into myelofibrosis was reported in only 2% of cases and transformation into acute myeloid leukemia was not described (6).

One previous study has found that a small proportion of pediatric cases with MPN might occur after previous treatment for acute leukemia or lymphoma (10). Data on the association of MPN and solid cancers is sparse and no systematic information on potential implications of previous chemotherapy or



cytoreductive drugs on the occurrence of MPN or cancer is available. Similarly, there are no systematic analyses of the association of MPN with non-malignant disease entities or complications of pregnancy.

6.1.8 Treatment

In most analyses on pediatric MPN, it remained unclear, whether treatment decisions and initiation were made by pediatric or adult hematologists (6). However, the general impression is, that due to the rarity of MPN in childhood and adolescents and the associated lack of age-appropriate diagnostic and therapeutic guidelines, adult hematologists, who are much more familiar with these diseases, are regularly consulted by the pediatrician.

Antithrombotic drugs such as aspirin, vitamin K antagonists and low molecular weight heparin seem to be employed in more than half of the cases with PV and ET and irrespective of the lack of high-risk features, most patients received cytoreductive treatment (6).

In larger analyses, pediatric patients with PV were treated with phlebotomy (45.2%) or received hydroxycarbamide (25.8%) whereas interferon appears to be more rarely employed (6). Children and adolescents with ET were regularly treated with the non-chemotherapeutic agents anagrelide (20.9%) and interferon alpha (4.6%). Notably, ruxolitinib, the inhibitor of *JAK1* and *JAK2*, which, since its approval, has become the standard-of-care treatment for adult patients with PMF and PV has so far been prescribed in single pediatric cases only (25).

Historically, a proportion of pediatric patients with MPN were treated with other conventional therapeutic approaches such as melphalan, busulfan, radiotherapy or allogeneic stem cell transplantation.

6.1.9 Outcome

The mortality rate of MPN in childhood and adolescence is low and the reported deaths were associated with vascular events such as Budd-Chiari syndrome (6).

However, it needs to be emphasized that the follow-up period of pediatric patients with MPN until transition to adult hematology is limited creating a reporting bias and the long-term outcome of MPN occurring early in life has not been systematically investigated yet.



6.2 Hypereosinophilic Syndrome

6.2.1 Background and classification

Hypereosinophilia and Hypereosinophilic Syndrome (HE/HES) belong to a heterogeneous group of myeloproliferative disorders characterized by an absolute eosinophil count (AEC) of $\geq 1.5 \times \text{G/L}$ with associated end-organ involvement, extensive tissue hypereosinophilia or bone marrow hypereosinophilia of $\geq 20\%$ with peripheral blood eosinophilia (26-30).

Hypereosinophilia and HES are also very rare in children and adolescents and data on the disease entity in these age groups is sparse. The incidence of pediatric HE/HES has been estimated with a rate of 3.5 per 100.000 children and adolescents per year (31). Due to variable clinical presentation, also HES might be underdiagnosed.

Based on the associated mechanism, HE/HES is classified as primary or secondary. For this registry, only children and adolescents with primary myeloid HE/HES (pHES) are eligible. However, primary HE/HES can be diagnosed only in a small minority of cases with HE/HES in adult and pediatric patients alike (8% and 11%, respectively [7]) caused by a malignant clonal myeloid or eosinophilic stem cell neoplasm. Underlying genetic events affect fusion genes or mutations involving tyrosine kinase pathways such as platelet-derived growth factor-alpha (PDGFR- α), platelet-derived growth factor-beta (PDGFR- β), fibroblast growth factor receptor 1 (FGFR1), and JAK2. Further causes of primary HE/HES comprise chronic eosinophilic leukemia, acute myeloid leukemia, systemic mastocytosis, MDS, classical MPNs (PV, ET and PMF) or MDS/MPN overlap disorders such as chronic myelomonocytic leukemia (CMML). The classical lymphocyte variant of primary HE/HES is associated with aberrant clonal T cells. Except for classical MPNs, these latter disease entities are not included in the registry.

Secondary HE/HES caused by defined underlying conditions are far more common than primary HE/HES. These cases are characterized by polyclonal expansion of eosinophils driven by increased production of cytokines, such as interleukin (IL) -3, IL-5 or granulocyte-macrophage colony-stimulating factor (GM-CSF). Secondary HE/HES are induced by a wide variety of conditions including allergies, infections, neoplasms, inflammatory syndromes, immunodeficiencies, drug hypersensitivities, and others.

6.2.2 Diagnosis of Pediatric HES

Hypereosinophilia/hypereosinophilic syndrome in children and adolescents with AEC $\geq 1.5 \times \text{G/L}$ on more than 2 occasions more than 4 weeks apart with or without associated clinical symptoms should be further evaluated.

Owing to the potential severity of the disease, a primary hematological malignancy should be ruled out initially. Apart from a detailed history taking and physical examination including evaluation of fever, lymphadenopathy, organomegaly, pallor, and bleeding signs, the primary diagnostic approach includes the identification of potential additional organ involvement. The basic laboratory tests comprise blood count with differential, blood smear, lymphocyte subsets, serum-immunoglobulins with IgE, C-reactive protein, liver and renal function, urinalysis, lactate dehydrogenase, uric acid, serum tryptase, serum vitamin B12, and a basic metabolic panel.

Initial diagnostic imaging should include chest X-ray or chest/abdominal/pelvic CT or MRI.

Performing a bone marrow puncture for morphologic and cytogenetic/genetic analysis and a bone marrow biopsy for histological assessment should also be part of the diagnostic approach if hematological malignancy is suspected. Organ involvement should be addressed for example by targeted tissue biopsy, bronchoscopy including bronchoalveolar lavage, echocardiogram and electrocardiogram.

Further evaluation of secondary HE/HES comprises parasite serology with respect to possible exposure and diagnostics concerning immunodeficiency, autoinflammation and connective tissue disorders.

Pediatric patients with HE/HES may have a normal initial evaluation and should therefore be followed up including peripheral blood counts every two to six months.

Figure 2 represents a flowchart of the diagnostic evaluation in pediatric HE/HES.

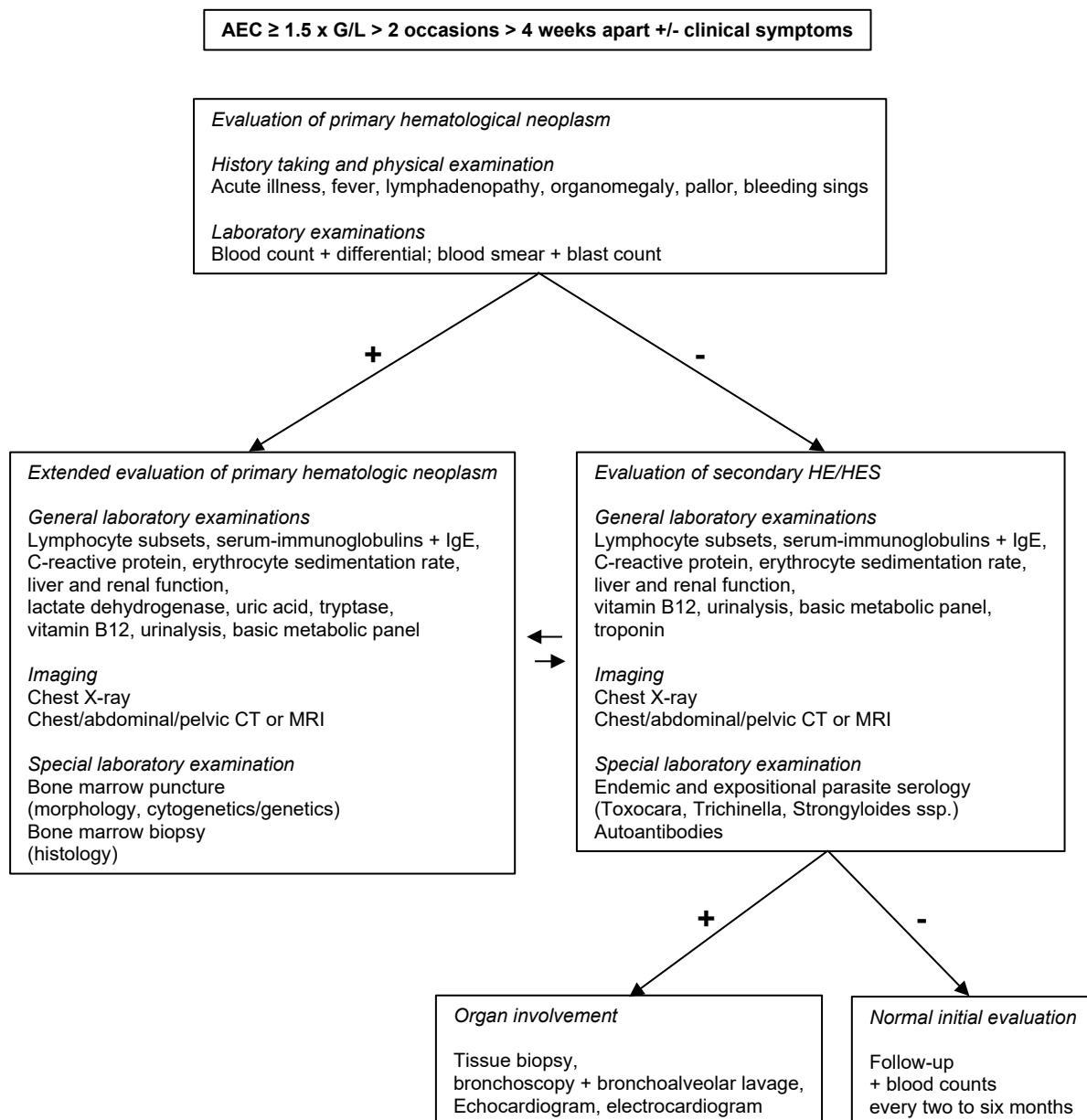


Figure 2: Diagnostic evaluation in pediatric patients presenting with HE/HES

6.2.3 Treatment of Pediatric HES

As for adults, first-line treatment in pediatric HE/HES is systemic high-dose steroid therapy. The usually applied dose is 1-2 mg/ kg body weight per day (29). Response to steroids is variable depending on the underlying condition but usually occurs rapidly within a few days. In case response is lacking and the AEC and associated clinical symptoms do not improve, therapy should be extended.

Patients with underlying myeloid HES and FIP1L1- PDGFR- α fusion gene show a high response rate to imatinib (approved for pediatric CML and applied at a dose of 340 mg/m²). However, in cases without a PDGFR- α alteration, response is rare (32, 33).

To date, mepolizumab, a monoclonal antibody acting through IL-5 antagonism, is the only biologic therapeutic agent approved by the U. S. Food and Drug Administration, which has shown effectiveness in a phase 3 randomized placebo-controlled trial in adolescents \geq 12 years and adults with HE/HES (34). Mepolizumab is applied subcutaneously every 4 weeks at a dose of 300 mg.



Additional therapies including hydroxyurea, interferon-alpha and benralizumab, a humanized anti-human IL5-R α antibody, can be used off-label (29). Other non-steroid agents such as Janus kinase inhibitors and dexpramipexole are currently being studied in the context of HE/HES treatment (35, 36). Generally, response to treatment is associated with the HE/HES subtype and the concomitant therapy of underlying conditions in secondary HE/HES. Like steroid therapy, IL-5 antagonism is presumably more efficient in non-myeloid HE/HES.

6.2.4 Complications and prognosis of Pediatric HES

Often, HE/HES becomes chronic and the median duration of the disease is 65.5 months in pediatric patients (37). Resolution of HE/HES-associated clinical symptoms and AEC < 0.5 G/L through HES-directed therapies is achieved in only a minority of cases. Adverse prognostic events include AEC > 100 G/L, congestive heart failure, underlying myeloproliferative neoplasm and resistance to steroids (29). Development of secondary malignancies poses an additional long-term risk in a proportion of patients. In children, the mortality associated with HE/HES is low (37).

7 Objectives

7.1 Rationale of the Registry

The rationale of the registry is to generally adapt and standardize the diagnostic approach for children and adolescents with MPN through a centralized morphologic, histology, genetic and cytogenetic review provided by the infrastructure of this registry.

This will provide us with the opportunity to systematically acquire data on the epidemiology and the clinical, hematological and genetic characteristics of childhood MPN, to identify patients with high risk of disease progression and to tailor the treatment to the distinctiveness of children and adolescents.

7.2 Primary Objectives

The primary objectives of the registry are:

- To determine the incidence and epidemiology of PV, ET, PMF and pHES in children and adolescents through systematic inclusion of these patients in a national population-based registry
- To systematically characterize clinical, hematologic, genetic and cytogenetic features of children and adolescents with PV, ET, PMF and pHES
- To identify pediatric patients with high risk of complications and disease progression and transformation into acute myeloid leukemia (AML)
- To improve the therapy for children and adolescents with MPN by discussing treatment options with the treating centers and tailor therapy to the specific and individual needs of pediatric patients

7.3 Secondary Objectives

The secondary objectives of the registry are:

- To prepare future international collaborative prospective studies focusing on the optimization and standardization of childhood MPN-diagnosis and treatment
- To develop a biobank system of pediatric patients with MPN



8 Allocation of the MPN Registry to other GPOH Registries

The MPN Childhood Registry is a project of a cooperative study group of pediatric hematologists, pathologists and geneticists mandated by the Society for Pediatric Oncology and Hematology (GPOH). Embedded in the GPOH-structure, it complements the diagnostic spectrum of the rare myeloid entities MDS, SAA, and CML and the hematologic disorders sickle cell disease and hemoglobinopathies (Figure 3).

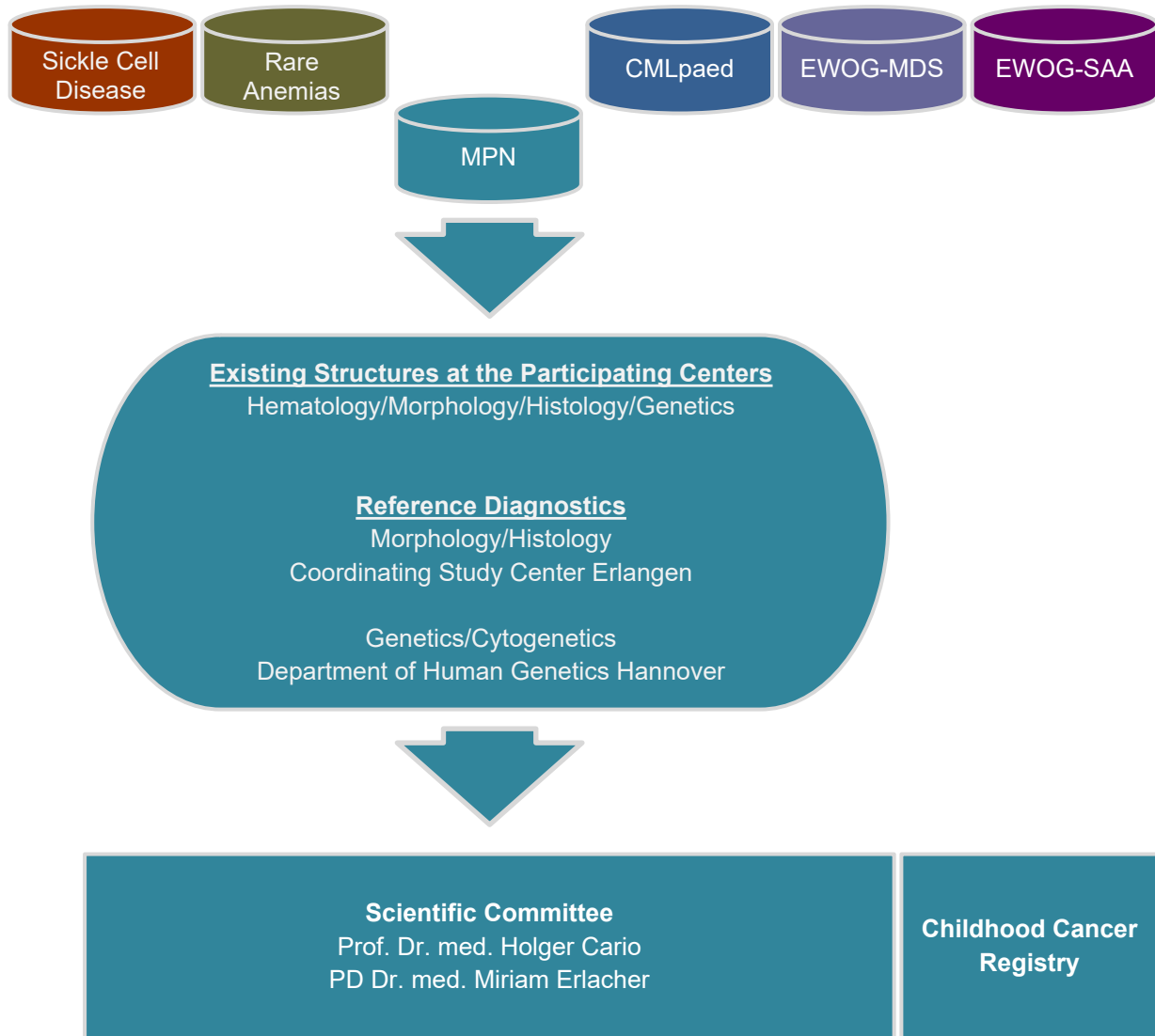


Figure 3: Allocation of the MPN Childhood Registry to other GPOH Registries



9 Investigational Plan

9.1 Registry/Study Design

This is a German national multicenter, retro-, and prospective, non-randomized, non-interventional registry. A registry biobank will be established.

The total duration of the registry is not defined, patients can remain in the registry until adulthood.

9.2 Participating Centers

All centers caring for pediatric patients with MPN.

9.3 Reference Laboratories

The reference clinical and morphological assessments in this registry are carried out by the coordinating study center at the Department of Pediatrics and Adolescent Medicine of the Friedrich-Alexander-Universität Erlangen-Nürnberg.

For histological assessment, bone marrow biopsies are sent to the coordinating study center and forwarded in-house for reference review to the institute of pathology of the Friedrich-Alexander-Universität Erlangen-Nürnberg.

Reference genetics including a somatic variant profiling based on targeted NGS approach and reference cytogenetics are performed at the Institute of Human Genetics at Hanover Medical School.

10 Patient population

10.1 Study Population

In the first year, we estimate 50 patients to be registered ("existing patients" and new diagnoses). Due to a lack of epidemiological data, further recruitment cannot be reliably estimated. However, based on the knowledge derived from previous investigations, we expect to be able to register between 10 and 20 patients per year. The number of registered patients should not be limited. Any center caring for pediatric patients with MPNs is invited to enroll patients.

10.2 Inclusion Criteria

Patients enrolled in this registry are to meet the following Inclusion Criteria:

- Newly diagnosed PV, ET, PMF or pHES according to the WHO classification and diagnostic criteria for myeloproliferative neoplasms (3)
- Age < 18 years
- Patient treated in a participating center
- Written informed consent to registry participation

10.3 Exclusion Criteria

Specific Exclusion Criteria for registration are:

- Hereditary or secondary polycythemia, thrombocytosis, myelofibrosis or HES with underlying reasons other than PV, ET, PMF or pHES.



11 Patient Enrollment and Registration

11.1 Time of Enrollment

It is planned to initiate registration on January 01, 2023.

In principle, registration is without any definite time limitation until adulthood is reached.

11.2 Minimal Requirements for Enrollment (Pre-Screening)

Patients meeting the diagnostic criteria for PV, ET, PMF, and pHES according to the current WHO guidelines modified for age (see also section 6) in the pre-screening process and the inclusion criteria are eligible for the registry.

Before a patient can be enrolled, the following minimal requirements and data sets need to be available:

- Informed consent for the registration in the MPN Childhood Registry signed by the patient and/or the patients' legal representative(s) is available
- Patient identification by name and gender
- Date of birth
- Date of diagnosis
- Hemoglobin level, platelet and white blood cell count
- Bone marrow with differential count

11.3 Mode of Enrollment

As soon as the diagnosis of PV, ET, PMF, or pHES is confirmed, the treating physician obtains the written informed consent of the patient and/or the patient's legal representative(s). The patient will be registered on a patient identification list located at the coordinating study center (CSC) and baseline characteristics including the full name, date of birth, and gender will be recorded. All of the Inclusion and none of the Exclusion Criteria of the study must be fulfilled.

The patient receives a consecutive patient identification number which is given by the CSC and consists of 2 letters reflecting the country code and 4 digits standing for consecutively registered patients.

With the registration, the documenting center confirms that a valid declaration of consent exists and is available.

12 Patient evaluation and data Acquisition

12.1 Study Schedule

In cases with suspected primary MPN, the patient undergoes the diagnostic procedures as outlined below. Once the diagnosis has been confirmed by reference diagnostics, a written informed consent is obtained from the patients and/or the patients' legal representative(s), and the patient is entered in the registry. Defined initial and follow-up data sets will be requested from the participating center by the CSC.

All diagnostic procedures are part of the routine examinations. No additional punctures other than required for routine diagnostic examinations are performed for the registry. For registry purposes 5-10 ml of peripheral blood and 2-3 ml of bone marrow are collected at routine examinations after the diagnosis of MPN has been confirmed and the patient has been included in the registry. Additionally, a buccal swab or 10-15 hair follicles will be collected once after registration.

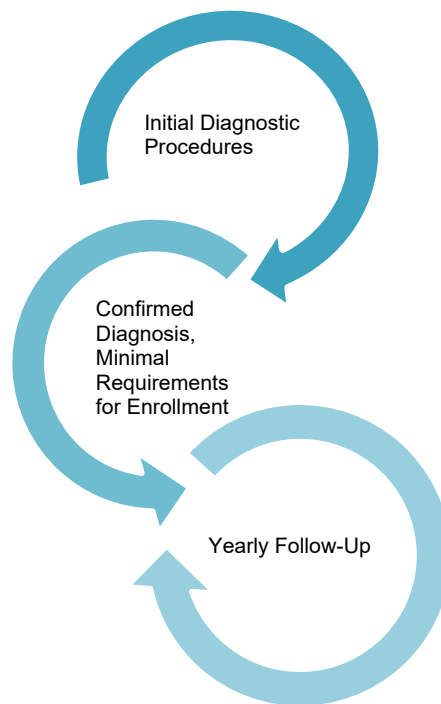


Figure 4: Study Design

12.2 Initial Diagnostic Procedures

All initial diagnostic procedures described here are part of routine diagnostics, which are generally required in case hematological diseases are suspected. They are carried out as part of patient care and are therefore not registry-specific. Patients are included in the registry only after the diagnosis of MPN has been confirmed. In the following, an overview over these common procedures is provided. The Schedule of Activities (section 4) lists the diagnostic procedures, which are performed.

12.2.1 Demographic Data and medical History

Patient demographics: full date of birth, date of diagnosis, age at diagnosis, sex, relevant medical history/current medical conditions (until date of signature of informed consent), disease details will be recorded.

12.2.2 Physical Findings

Physical findings including splenomegaly, hepatomegaly (with the spleen and liver size below costal margin by palpation or absolute organ size by ultrasound), lymphadenopathy, signs of thrombosis or bleeding, congenital abnormalities, xanthomas, café au lait spots, cranial nerve palsy, respiratory tract symptoms. Body weight in kilogram (kg), body height in centimeters (cm), and head circumference (in cm) with percentiles measured at the time of the initial diagnostics.

12.2.3 General Laboratory Examinations

Blood collection is based on local recommendations or guidelines for limitations of acceptable blood volume extraction limits based on participant age and/or weight. All clinical laboratory assessments are provided in the Schedule of Activities (section 4).

12.2.4 Specific Laboratory Examinations

Polycythemia vera and essential thrombocythemia can be suspected in case of persistent and otherwise unexplained elevation of the hemoglobin level, the hematocrit, and the platelet count above the normal values for age.



Underlying cardiovascular, infectious or inflammatory conditions or iron deficiency must be ruled out initially. Familial blood counts should also be evaluated.

If a primary non-familial cause remains likely, blood and bone marrow material including unstained smears and a bone marrow biopsy are sent to the CSC Erlangen for morphologic and pathologic review. In addition, NGS prognostic panel-based somatic variant screening including the genes *ASXL1*, *CBL*, *CALR*, *EZH2*, *IDH2*, *HRAS*, *JAK2*, *KRAS*, *MPL*, *NRAS*, *SF3B1*, *SRSF2*, *TP53*, standard fluorescence R-banding analysis (cytogenetics) and fluorescence in situ hybridization (FISH) are performed at the department of human genetics, Hanover medical school.

If the diagnostic criteria for PV and ET or other myeloid neoplasms such as CML or MDS are not met, but primary myelofibrosis is suspected based on persistent anemia, leukocytosis, palpable splenomegaly or elevated LDH-level, the diagnostic procedures are as described above.

Hypereosinophilia/Hyper eosinophilic syndrome should be further evaluated in children and adolescents with $AEC \geq 1.5 \times G/L$ on more than 2 occasions more than 4 weeks apart with or without associate clinical symptoms according to the flowsheet shown in Figure 2 (6.2.2).

If a primary hematological disorder is suspected, blood and bone marrow material including unstained blood and bone marrow smears and a bone marrow biopsy are sent to the CSC Erlangen for morphologic and histopathologic review.

Molecular genetic detection of fusion genes (RNA panel sequencing) of *JAK2*, *FGFR1*, *PDGFRA*, *PDGFRB* and mutation analysis of *ASXL1*, *CKIT*, *DNMT3A*, *JAK2*, *SRSF2*, *STAT5B*, *TET2* are performed at the department of human genetics, Hanover medical school.

According to the obtained results, patients will be assigned to one of the following groups:

- Polycythemia vera (PV)
- Essential Thrombocythemia (ET)
- Primary Myelofibrosis (PMF)
- Primary Hypereosinophilic Syndrome (pHES)

In case PV, ET, PMF or pHES are diagnosed based on these diagnostic procedures, screening for inclusion in the registry is initiated.

12.3 Laboratory Tests/Special Examinations during Study Period

Like the initial diagnostic procedures, also the examinations during the study period are routine diagnostic procedures commonly required for the follow-up of confirmed hematologic diseases. They are performed in the context of patient care and thus, they are not registry-specific. The following paragraph provides a general routine scheme for follow-up procedures referred to by the diagnostics during the study period in Table 3.

Response to treatment in PV, ET, and PMF could initially be assessed through weekly, bi-weekly or at least monthly clinical evaluation including determination of peripheral blood counts until the normal values are almost reached. Thereafter and in cases without treatment, clinical evaluation and determination of peripheral blood counts monthly to every 3 months appear sufficient and the control intervals can even be stretched in the further course. In case of changing peripheral blood counts and/or suspected progression, repetition of BM morphology and histology as well as cytogenetic and genetic analysis should be considered.

Treatment response in pHES could primarily be assessed through weekly, bi-weekly or at least monthly clinical evaluation and determination of blood counts until stable hematologic response is achieved. In cases harboring a translocation, additional FISH-analysis can be performed from bone marrow and/or peripheral blood to verify cytogenetic response. Once stable hematologic and cytogenetic response is reached, determination of blood counts could be continued monthly to at least every 3 months under therapy.

Moreover, individual assays can be established to enable assessment of molecular response.



Diagnostics	Initially	During study period ¹	Address
Hematology	X	(X) ¹	Dr. med. A. Karow Studienleitung MPN Universitätsklinikum Erlangen Kinder- und Jugendklinik Klinisches Labor/Zellbiologie Loschgestr. 15 D-91054 Erlangen
Morphology → 10 PB smears → 10 BM smears unstained → 5-10 ml Heparin-PB → 2-3 ml Heparin-BM at room temperature	X	(X) ¹	
Histology → 1 BM biopsy 10% formalin	X	(X) ¹	
Cytogenetics/FISH/Genetics → 5 ml Heparin-BM → 5 ml EDTA-BM at room temperature	X	(X) ¹	BM biopsy will be forwarded internally to Prof. Dr. med. M. Büttner-Herold Pathologisches Institut Universitätsklinikum Erlangen Dr. rer. nat. Yvonne Behrens Medizinische Hochschule Hannover Institut für Humangenetik OE 6300 Carl-Neuberg-Str. 1 D-30625 Hannover

Table 3: Initial special laboratory examinations and disease monitoring

¹A general routine scheme for diagnostic procedures during the study period in classical MPN and pHES is provided in 12.3

The following data is recorded for patient receiving disease-specific and/or concomitant treatment or no treatment at each at the treating center or at least once per year:

- Treatment/Medication: body weight, length; start/end/ongoing therapy, drug, dosage
- Every bone marrow examination along with complete blood count with differential (including reticulocytes)
- In the absence of a bone marrow examination the first peripheral blood count indicating progress
- In the absence of progress, the last peripheral blood count
- Bone marrow with differential
- Genetics/Cytogenetics: Every analysis (metaphase and FISH)
- NGS panel-based somatic variant screening: Every analysis
- Date of last examination
- Karnofsky score



- Survival: stable disease, complete remission (CR), progress/relapse (date, site, kind of progress/relapse)
- Complications including thrombotic or hemorrhagic events or organ involvement
- Toxicity
- Secondary malignancy (date of diagnosis, diagnosis)
- Death (date, cause)

12.4 Patients Undergoing HSCT

For patients undergoing HSCT, the recorded data largely corresponds to the EBMT-follow-up. In addition, MPN-specific aspects including pretransplant disease course, treatment, and status of disease, hematological values, bone marrow investigation, and genetic/cytogenetic results before transplantation, conditioning regimen, donor, graft manipulation, engraftment, remission status posttransplant, GvHD, and other complications will be documented.

12.5 Duration of Study Participation

There is no maximum time of follow-up nor are there any defined dropout criteria for registered patients. For patients lost to follow-up the last known set of data should be retrieved and recorded.

13 Management of Patient Data and Patient Material

13.1 Recording of Data

The following data sets should be recorded in the provided CRFs according to the GCP-guidelines:

1. Basic data: One-off registration and collection of unchangeable basic data.
2. Annual survey: The clinical, laboratory, and treatment data since the last survey are documented here.
3. Completion of the documentation: Here it is documented when a patient withdraws the consent to participate in the registry, has moved abroad, or has died.

The annual data collection is not time-limited in order to be able to make statements about long-term trends. However, data collection and storage will be terminated when all registry-specific issues have been finally clarified or can no longer be clarified.

Potential transfer of data from and to registries the patient is participating in requires that the patient has consented to the participation of all registries/studies involved.

13.2 Database

The baseline and annual follow-up data are entered into the paper-based CRFs by the participating centers and sent to the CSC.

The data will then be entered and stored in the Research Electronic Data Capture (REDCap®). The personally identifying data (IDAT) are stored separately from the medical data (MDAT) in accordance with §40 BDSG. REDCap® is provided by the Center of Medical Information and Communication Technology (MIK), University Hospital Erlangen and guarantees that the data is stored, secured and validated in accordance with the law. Technical details of the database used can be found in the REDCap® process description.



13.3 Use of Patients` Material

Peripheral blood, bone marrow aspirate, and bone marrow biopsies are retrieved for routine reference diagnostics to confirm the diagnosis of MPN and to examine the course of the disease.

If the patient/ the patient`s legal representative gave informed consent for the use of material for non-commercial research purposes regarding the disease, material from peripheral blood and bone marrow remaining after completion of routine diagnostics is stored. In this case, a buccal swab or hair follicles is/are additionally collected from the patient once after registration and also stored. The remaining material from peripheral blood and bone marrow and the material from a buccal swab or hair follicles are used for scientific studies on genetic and functional alterations in childhood MPN. The coordinator`s research group has the right to conduct the research.

No patient is undergoing an additional invasive procedure in order to gain material for research only. Every patient/ legal representative may state if she/ he wants to be informed about the research results. Future studies using the material of the biobank will be advised separately by an ethics committee, since the technical possibilities and data protection conditions can change unpredictably.

13.4 Asservation of Patients` Material and Biobanking

At diagnosis, at regular routine control intervals, at disease progress, prior to possible HSCT and at relapse, material from peripheral blood and bone marrow will be retrieved.

The following material will be used primarily for routine diagnostic purposes:

- 6 smears from PB
- 6 smears from BM
- 2 biopsies from BM

In addition, the following material will be collected as backup for routine diagnostics and/or for research purposes:

- Minimum of 5 ml of heparinized/ EDTA-PB
- Minimum of 5 ml of heparinized/ EDTA-BM
- Buccal swab or 10-15 hair follicles (once after initial diagnosis)

The samples are sent from the treating center to the CSC, where they will be processed and stored as part of the certified Central Biobank Erlangen (CeBE) linked to the registry-specific pseudonym.

The material will be stored as follows:

- Smears from PB and BM will be frozen at -80 °C.
- Cells in PB and BM will be separated by a Ficoll gradient. Mononuclear cells (MNC) will be frozen according to standard procedures.
- DNA from buccal swab or hair follicles and DNA and RNA from MNC will be extracted and stored according to standard procedures. DNA from granulocytes in the Ficoll pellet will be extracted after red cell lysis and stored according to standard procedures.

To obtain germline DNA, hair follicles or a buccal swab should be retrieved from all patients after diagnosis. Cytogenetic material is stored at the reference laboratory.

Upon evaluated application to the CSC, material can be made available for research purposes. Until then, only the study group and, if necessary, for processing and storage, the biobank staff will have access to the data and biomaterials. The aim of the biobank is to store the samples for the investigation of the pathophysiology of MPN in childhood and adolescence. Findings from these investigations should be used as soon as they are relevant for the treatment of individual patients and made available to the



attending physician. If the patient/legal representatives withdraw from participation and/or refuse further storage, the samples will be destroyed.

The biobanking procedures of this protocol will be embedded in the certified Central Biobank Erlangen (CeBE), a voluntary non-profit organizational association of quality-assured biobanks located in Erlangen. These institutions share a common organization, common procedures and platform structures concerning IT, data, quality and stakeholder management under the patronage of the medical faculty of the Friedrich-Alexander Universität Erlangen-Nürnberg (FAU) and the University Hospital Erlangen (UKER). The primary objective of the CeBE is to collect, characterize, register, archive and process human biosamples at high quality for research purposes and to support and promote scientific projects of the medical faculty of the University of Erlangen-Nürnberg, the University Hospital of Erlangen and all other member institutions of the CeBE in accordance with the Declaration of Taipei. The Department of Pediatrics and Adolescent Medicine of the Friedrich-Alexander-Universität Erlangen-Nürnberg is an integral part and thus a contractual user of the CeBE.

Biosamples and data are submitted to the CeBE upon written request of the user via the sub-biobanks of the CeBE according to the valid data and bio sample usage regulations, unless otherwise regulated. The prerequisites for this are a defined scientific project, a relevant, valid ethics vote and the written consent of the patients and/or their legal representatives or subjects. The data and biosamples Use & Access Committee (UAC) of the Erlangen University Hospital decides on the assignment under consideration of the respective consents and all applicable data protection regulations. Contributing clinical institutions have unrestricted access to samples and data they have brought in and must always be consulted by other working groups and consent before a project using these samples and/or data can be approved. The sale or the transfer of collected biosamples or their derivatives for commercial use without appropriate specific approval from the board of directors and the contributing clinical facility is excluded.

14 Quality Assurance

Quality assurance within the MPN Childhood Registry will be conveyed through the Advisory Board and an authorized supervision as described below.

14.1 Scientific Committee

The Scientific Committee including a senior advisor meets at least once every two years and discusses study issues, interim data, and analyses in order to guarantee the scientific value of the study.

14.2 Authorized Supervision

The members of the CSC including the principal investigator will have the duty of being authorized supervisors.

The authorized supervisors will stay in regular contact with the participating centers mostly by e-mail and telephone to gather information about the compliance with the study protocol requirements, completeness and plausibility of the data in the CRF and conformity with the original data, the updated patient identification lists, and the archiving system. Thus, the progress of the registry will be controlled and problems will be realized and addressed early.

The authorized supervisors sign that they will handle all data that are under professional secrecy or show the patient's identity confidentially and will use the data only for the purpose the patient gave informed consent for. No data disclosing the identity of patients will leave the CSC as a result of the monitoring procedure.

14.3 Data monitoring

Monitoring of the data with verification of the source data is not planned. However, entered data is subjected to a plausibility and completeness check by the CSC. Ambiguities and discrepancies are clarified with the documenting center. Audit trails regulate document access and entry of data in REDCap® on a personal basis.



15 Statistics

Statistical evaluations of the patient data sets registered to date are envisaged one year after the start of recruitment and then at annual intervals. The demographic data, incidence, disease subgroups, treatment and course of the disease will be addressed. Scientific findings are published in relevant medical journals.

Due to the aims of the registry, the statistical methods will be largely descriptive and exploratory and carried out according to standard methods.

16 Conditions for Protocol Amendments

16.1 Changes in the Protocol

Any modifications of this protocol require a written protocol amendment and the joint and signed agreement of the coordinating investigator, the deputy coordinating investigator and the members of the advisory board. After approval, an amendment becomes effective as an integral part of the protocol and all participating centers will be informed of the amendment by the CSC.

17 Ethical and Legal Considerations

The registry will be conducted in accordance with the Declaration of Helsinki in the currently valid version. Before the initiation of the registry, the registry protocol, the patient information and the proposed informed consents are submitted to the ethics committee of the Medical Faculty Erlangen. In addition, the participating centers submit the documents to their responsible ethics committees for consultation.

Modifications to the registry protocol changing its purpose or the handling of patient data or material will be reported to the responsible ethics committee. Changes that only affect the input interface for data in REDCap® do not require a new vote by the ethics committee.

By written consent to this protocol, the investigator declares to ensure compliance and to grant full access to all documentation by authorized individuals.

17.1 Patient Information and Informed Consent

The signing of the informed consent by the patient and/or the patient's parents/legal guardians is a prerequisite for registry participation. The registry does not pose any risks for the participants, since no registry-specific interventions are foreseen.

Prior to inclusion in the MPN Childhood Registry, each patient and/or the patient's parents/legal guardians will be carefully informed by the treating physician on the nature, objectives, expected benefits, potential risks and duration of the registry. The patient and/or parents/legal representatives must be given sufficient time and opportunity to decide whether to participate and to clarify any open questions before consenting. The declaration of consent is personally dated and signed by the patient and/or both parents/legal representatives and the attending physician. Patient participation in the study is voluntary. In the case of underage patients, the parents/legal representatives will be informed and they will document their consent by signing the informed consent form. The presumed will of the patient must be considered. If the patient is able to recognize the nature, meaning and scope of the consent and to express her/his will accordingly, she/he will also be informed in an age-appropriate manner and asked to give consent to participate in the registry. For this purpose, an information leaflet tailored to age groups in child-friendly and age-appropriate wording is provided.

Patients who reach the age of 18 while participating in the registry can continue to participate in the registry if they (again) consent to participate.

The patient and/or the patient's parents/legal guardians will receive a copy of the written informed consent once signed, and the original version of the informed consent has to be kept in the investigator's file.



17.2 Data protection

Since May 25th, 2018, data protection has been regulated by law on the European level through the General Data Protection Regulation (EU-GDPR). Patient data is collected, processed and stored in the registry for research purposes. All persons who have access to the stored data and confidential information are also subject to professional secrecy, the Federal Data Protection Act (FDPA) and the State Data Protection Act (SDPA).

Confidentiality is maintained throughout the registration period and beyond. Medical data is only accessible to experts in the context of scientific work. The registry data is collected from the treating center. For this purpose, paper CRFs are completed with patient plain data by the treating center and sent to the CSC, where they are entered in the REDCap® database and stored double pseudonymized with restricted access. Double pseudonymization will be ensured by double encryption with a different code in each case. The decryption lists will be kept in the CSC. Pseudonymized registry data can be passed on to scientists outside of the study cooperation for the above-mentioned research purposes upon written request. Personally identifiable data will not leave the coordinating study center. Third parties do not have access to original documents. The collection and storage of data is terminated when the registry-specific issues have been finally clarified or can no longer be clarified, or the affected patient/legal guardian(s) withdraw the consent for the registry and do not agree to further storage and processing.

Depending on patient characteristics, for example when a patient undergoes an allogeneic stem cell transplant, the treating physician or the registry management can ask the patient to participate in other registries, such as the PRST (= Pediatric Registry for stem cell transplantation)/ EBMT (= European Group for Blood and Marrow Transplantation).

The transfer of data between different registries requires that the patient has consented to the participation of all registries/studies involved.

Any data transferred to third parties for comparative analyses in this registry will be completely anonymized. Therefore, the patients/legal representatives do not have to consent to the transfer of this data separately.

Consent to sample storage in a biobank and sample transfer for research purposes

The storage of samples collected in the registry takes place in the certified Central Biobank Erlangen (CeBE). Consent to store and process patient samples is included in the consent to participate in the MPN Childhood Registry.

The registry management is responsible for administration and storage of samples. The samples are only made available for research purposes upon specific request. The samples and the donor data are stored double pseudonymized and the identifying "keys" remain within the CSC. The data are subject to medical confidentiality and the provisions of the Federal Data Protection Act (FDPA) and the General Data Protection Regulation (EU-GDPR). Storage and processing terminate when the registry-specific issues have been finally clarified or can no longer be clarified, or the affected patients/legal guardians withdraw from the registry and do not agree to further storage and processing.

If genetic tests are to be carried out, a separate consent must be obtained from the patient/legal guardian in accordance with the Genetic Diagnostics Act.

17.3 Patient withdrawal

The consent of the legal guardian or the patient themselves can be withdrawn at any time without giving reason and without any disadvantages for further medical care. Data and/or material that has already been obtained will then be destroyed if the patients/guardians do not agree to the evaluation and further storage. Date of withdrawal, all recorded results at this time, and, if known, the reasons for discontinuance are to be documented in the CRF. If possible, a final examination has to be done.

17.4 Termination criteria for the overall study

The registry will be terminated if events occur or information becomes known making it unjustified to continue the registry. The registry will be terminated if no financial means are available for continuation. If the patient has consented, data and biomaterials can be pseudonymized for research purposes and stored beyond the duration of the study.



17.5 Insurance

Because due to the registry, no novel or controlled therapeutic measures or interventions take place, patient insurance is not foreseen.

18 Study Documents and Archiving of Records

18.1 Investigator`s File

The investigator`s file contains all relevant documents including regulatory and study documents, correspondence with ethics committee and general information. The investigator`s file has to be accessible for audits and authorized inspections and will be kept within the CSC according to the ICH-GCP-guidelines and legal regulations for a minimum of 15 years.

18.2 Documentation of Patient Data

Case Report Form (CRF):

The registry data will be documented continuously, accurately, plausibly and as completely as possible by the investigator or the representatives in the CRF.

Documentation of data in the patient`s file:

The participation of the registry, the frequency of the visits, disease-associated data, examinations, diagnostic evaluations and concomitant treatment will be documented in the patient`s file by the investigator or the representatives.

Patient Identification List:

The investigator has to keep a patient identification list according to the ICH-GCP-guidelines allowing precise correlation of the patient`s identity to her/his inclusion in the registry.

The patient identification list will contain the following information:

- Full patient name
- Date of birth
- Gender
- Fulfillment of the inclusion and exclusion criteria of the registry

18.3 Archiving of Records

According to the German law and the ICH-GCP-guidelines, the complete investigational records will be stored safely in the Master File at the CSC for a minimum of 15 years.

These will contain originals of complete documentation and copies of outgoing correspondence.

19 Administrative Considerations

19.1 Financing

The registry will be supported by public grants. There is no support from the industry.

19.2 Data Evaluation and Reporting

The data is evaluated internally on a yearly basis. The planning, implementation and evaluation are oriented to the guidelines and recommendations for ensuring Good Epidemiological Practice (GEP). Evaluations of data from the registry database can be requested from the registry management. The transfer will be contractually regulated and limited to anonymous, aggregated or evaluated data.



19.3 Publication of Results

On a national level, the publication rules of the GPOH apply (GPOH study rules, 9th version, version 05/2010).

For international collaborations on childhood MPN, any formal presentation or publication of data collected as a direct or indirect result of this registry will be considered as a joint publication by the collaborators. It requires the agreement of the Principal Investigator and all Collaborators. Authorship will be determined by mutual agreement.



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Appendix 1: Patient Information/Informed Consent

Appendix 2: Invoice and Report Forms

Appendix 4: Approval by Ethics Committee